KNEE



Patient-specific metal implants for focal chondral and osteochondral lesions in the knee; excellent clinical results at 2 years

Johannes Holz¹ · Tim Spalding² · Tarek Boutefnouchet² · Pieter Emans³ · Karl Eriksson⁴ · Mats Brittberg⁵ · Lars Konradsen⁶ · Clemens Kösters⁷ · Peter Verdonk⁸ · Magnus Högström⁹ · Martin Lind¹⁰

Received: 1 June 2020 / Accepted: 14 September 2020 © The Author(s) 2020

Abstract

Purpose Surgical treatment options for the management of focal chondral and osteochondral lesions in the knee include biological solutions and focal metal implants. A treatment gap exists for patients with lesions not suitable for arthroplasty or biologic repair or who have failed prior cartilage repair surgery. This study reports on the early clinical and functional outcomes in patients undergoing treatment with an individualised mini-metal implant for an isolated focal chondral defect in the knee.

Methods Open-label, multicentre, non-randomised, non-comparative retrospective observational analysis of prospectively collected clinical data in a consecutive series of 80 patients undergoing knee reconstruction with the Episealer® implant. Knee injury and Osteoarthritis Outcome Score (KOOS) and VAS scores, were recorded preoperatively and at 3 months, 1 year, and 2 years postoperatively.

Results Seventy-five patients were evaluated at a minimum 24 months following implantation. Two patients had undergone revision (2.5%), 1 declined participation, and 2 had not completed the full data requirements, leaving 75 of the 80 with complete data for analysis. All 5 KOOS domain mean scores were significantly improved at 1 and 2 years (p < 0.001-0.002). Mean preoperative aggregated KOOS4 of 35 (95% CI 33.5–37.5) improved to 57 (95% CI 54.5–60.2) and 59 (95% CI 55.7–61.6) at 12 and 24 months respectively (p < 0.05). Mean VAS score improved from 63 (95% CI 56.0–68.1) preoperatively to 32 (95% CI 24.4–38.3) at 24 months. The improvement exceeded the minimal clinically important difference (MCID) and this improvement was maintained over time. Location of defect and history of previous cartilage repair did not significantly affect the outcome (p > 0.05).

Conclusion The study suggests that at 2 years, Episealer® implants are safe with a low failure rate of 2.5% and result in clinically significant improvement. Individualised mini-metal implants with appropriate accurate guides for implantation appear to have a place in the management of focal femoral chondral and osteochondral defects in the knee. **Level of evidence** IV.

Keywords Focal cartilage lesion · Focal knee resurfacing · Metal implants · Clinical outcomes · Customised · Personalized

Introduction

Focal chondral and osteochondral lesions are a significant cause of morbidity and can have a clinical impact similar to end-stage osteoarthritis, with an important socio–economic burden [15, 17]. Lesions are likely to progress to bifocal disease and pan-articular osteoarthritis [4, 7, 29].

Johannes Holz dr.holz@oc-h.de Available methods for the management of focal chondral and osteochondral defects in the knee include cellbased regenerative procedures, bone marrow stimulation techniques including microfracture or scaffold augmented microfracture, and osteochondral grafting procedures which can be autograft or allograft [3]. Osteochondral allograft reconstruction (OCA) is highly cost-effective [30, 31] but the procedure is limited by the scarcity of fresh grafts and in some countries use is restricted by law [11, 46]. Patient age for all such options is a significant restrictive factor since transplanted cells and marrow cells tend to lose regenerative potential with increasing age [9, 21]. The outcome of

Extended author information available on the last page of the article

unicompartmental knee arthroplasty for focal chondral and osteochondral lesions remains unpredictable, suggesting that this option should be reserved for bone-on-bone disease [14, 22, 36]. Equally, total knee arthroplasty (TKA) for a focal lesion in younger active patients is not considered an acceptable option [25, 28].

Mini-metal and other focal resurfacing techniques have been developed to tackle the so-called "treatment-gap" of patients considered too young and active for arthroplasty and either too old for biological procedures, or who have failed previous articular cartilage repair procedures. Concerns about revision rates with such implants remain present, especially early on, [12, 23] and a recent systematic review reported a conversion rate to TKA of 22% at an average interval of 46 months [10].

An individualised implant, adapted to the patients knee using planning based on Magnetic Resonance Imaging (MRI) and implanted with the benefit of defect specific guides and instrumentation has been developed, intended to improve outcome due to optimised fit, defect cover and congruence.

The aim of the study was to report on the early clinical and functional outcomes in a consecutive series of patients following implantation of an individualised minimetal implant for isolated focal chondral and osteochondral defects. The clinical relevance of the study is that successful improvement in outcome would expand treatment options for selected patients where currently there are only limited conservative options available. The primary hypothesis was that treatment would result in an increase in KOOS scores at 24 months compared to baseline. A secondary aim was to examine differences in outcome at other recorded time points and explore the effects of previous cartilage repair and the site of implantation.

Materials and methods

From 2013 to 2017, 80 consecutive patients underwent focal resurfacing with the Episealer Mini-metal implant (Episurf, Sweden) as part of a non-comparative, open-label multicentre study. This study is a retrospective analysis of prospectively collected data.

The study institutions consisted of 9 sites in Europe and involved 11 participating surgeons. Treatment was indicated for patients with symptomatic chondral and osteochondral defects in the knee who had failed conservative treatment and who were suitable for the procedure as determined on specific MRI imaging and satisfactory mapping according to an individualised damage marking report. Contraindications included patients with inflammatory arthritis, age below 35 or above 70, malalignment > 5 degrees, joint space narrowing on weight-bearing x-rays and greater than 50% loss of meniscal tissue. Full inclusion and exclusion criteria are detailed in Table 1.

Data were collected preoperatively and at 3, 12 and 24 months postoperatively. Baseline demographic and clinical information were recorded, including age, gender, BMI, the American Society of Anaesthesiologists (ASA) score, smoking status, comorbidities, lesion size and location, concomitant knee problems, and prior surgical knee procedures. Clinical outcome forms were completed by the patient on paper or electronically as determined in each centre, and operative data were recorded by the surgeon. Implant failure was defined as development of infection, implant removal and revision. Clinical outcome measures consisted of the Knee injury and Osteoarthritis Outcome Scores (KOOS) and the Visual Analogue Score for pain. Mean overall KOOS4 and individual domain KOOS scores were evaluated against the published minimal clinically important difference (MCID) [40]. Between-group analysis was performed

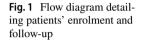
Table 1 Episealer implants patients selection criteria	Table 1	Episealer implan	nts patients selection	criteria
--	---------	------------------	------------------------	----------

Inclusion criteria	Exclusion criteria
$Age \ge 18$	Bone on bone disease
Focal femoral knee chondral or osteochondral lesion	Multifocal chondral defects
Focal chondral and osteochondral lesions: ICRS III-IVb	Severe chondral lesion (ICRS III-IV) on opposing surface
Symptoms of pain and disability	Previous mini implant focal resurfacing
Failed non-operative treatment	Systemic and/or inflammatory joint disease
No radiographic loss of joint space on PA 30°	Joint instability or malalignment that is not correctable at the time of
Meniscus volume: 50% or more, without extrusion	treatment
Bone: no deformities, erosions, or deep cystic formations	On-going infection in the knee joint
Failed cartilage repair procedure	Inflammatory arthritis or radiographic osteoarthritis
Normal or ICRS I-II opposite articular surface	Sensitivity to materials typically used in orthopaedic implants (self-
Neutral alignment: defined as < 3 degrees deviation of tibiofemoral	reported or prior exposure)
mechanical axes	Inadequate bone stock at site of insertion
Patient expectations appropriate, and no high impact activities	Existing prosthesis in compartment of treatment or opposing surface

for patients with and without a history of previous cartilage repair, and for location of defect.

One patient declined participation in the study and was, therefore, excluded. Two patients were unable to complete outcome measures at required set time points and 2 patients underwent revision surgery prior to 24 months. An a-priori power analysis was not required since the study aimed to include the entire patient population. Details of the follow-up and numbers in the analysis are outlined in the Flow-Chart in Fig. 1. Complete outcome data for analysis was, therefore, available in 75 patients. The mean age was 48 years (range 27–69) and 41% were male. In 48 patients (64%), Episealer® implants were performed following failed prior articular cartilage repair procedures. Patients' characteristics, lesion location and previous cartilage treatment are outlined in Table 2. Forty patients were treated with the Episealer condyle Solo implant, 25 with the Episealer condyle Twin implant and 10 were treated for defects on the trochlea (either Episealer Femoral Twin or Episealer Trochlea Solo implants).

Institutional review board approval was obtained in each participating centre and patients took part in an informed consent process consistent with Good Clinical Practice guidelines. The project was registered in UK (University Hospital Coventry and Warwickshire NHS Trust R&D department Ref: TS448919) and The Netherlands (Maastricht UMC Ref METC 2019-1410) as post-market surveillance approved by the respective universities and formal



Recruitment:

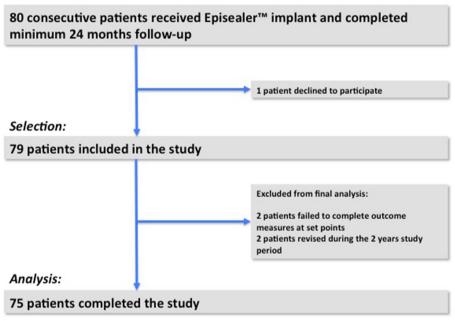


Table 2Demographic profileand clinical characteristics

Mean age (range) years	48 (27–69), SD 8.34			
Gender	Female 44, male 31			
BMI (kg/m ²)	28 (19–41), SD 4.83			
Location of lesion (<i>n</i>)	MFC	LFC	Trochlea	
	60	5	10	
Size of lesion (<i>n</i>)	$< 3 \text{ cm}^2$	$3-4 \text{ cm}^2$	$>4 \text{ cm}^2$	
	24	35	16	
Prior cartilage lesion treatment (<i>n</i>)	48			
Microfracture	31			
Mosaicoplasty	5			
Autologous chondrocyte implantation	4			
Biphasic bioresorbable scaffold (TruFitÔ TM Plug)	3			
Debridement	5			

Previous treatments: Each patient only reported once as per original index procedure, e.g. if microfracture followed by mosaicoplasty then only reported as microfracture

ethics was not required. IRB and Ethics numbers for remaining centres are Ethik Kommission der Ärztekammer Westfalen-Lippe und der Westfälischen Wilhelms-Universität, Ref: 2020-288-b-S; Universiteit Antwerpen Etisch Comite, Ref: B300201526651; The Scientific Ethical Committee of the Capital Region of Denmark, Ref: H-4-2014-068; Kommission der Ärztekammer Hamburg, Ref: PV7118; Etikprövningsmyndigheten, Ref: Dnr 2019-06268; and the Regionala etikprövningsnämnden i Stockholm, Ref: 2017/1759-31/1.

The device and surgical procedure

The Episealer® implant (Episurf Medical, Stockholm, Sweden) is manufactured from cobalt chrome with a highly polished articular surface that is individualised to replicate the articular curvature using an interpolating algorithm. The undersurface and sides of the prosthesis are coated with titanium and hydroxyapatite (Eurocoating, Part of United Coating Group Anteco SRL Salerno Italy) to achieve optimal biological bone to implant fixation. The Episealer® Solo and Episealer® Trochlea Solo implants are circular with diameters of 12, 15, 17, 20, 25 or 29 mm. The Episealer® Femoral Twin implant consists of two overlapping circles merged into a figure-of-eight configuration with 15, 17, 20 or 25 mm diameters. One or two pins, respectively, on the base help provide immediate fixation (Fig. 2). Both types can be specifically manufactured for the lateral and medial condyles or the trochlea. The planned implant thickness is 4 mm, but thicker components can be produced for deeper lesions.

The individualised design is based on a detailed MRI scan including four 2-dimensional (2D) diagnostic sequences and one 3-dimensional (3D) sequence to allow for a 3D computer reconstruction of the knee articular surfaces. The sequences are specified according to the proprietary software designed by Episurf with each centre undergoing testing and approval of image quality. Patient data are removed with images allocated a patient-specific code known to the referral centre, prior to uploading to an inhouse web-based platform. 3D reconstructed images were produced initially by manual segmentation but later by an automated system that identifies and outlines chondral and osteochondral defects. An appropriate Episealer implant is then superimposed on the defect and the resultant Damage Marking Report (DMR) (Fig. 2), together with relevant MR-images, is returned to the surgeon within 4-5 days via the web-platform for approval. Guide instrumentation, specific to the proposed implant, are also designed, and on approval manufacturing of implant and guides is started with delivery to the hospital within approximately 4 weeks.

Example Damage Marking Report and Implants

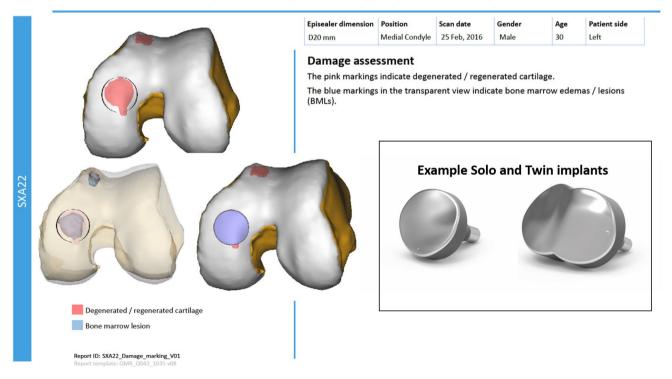


Fig. 2 Example of an Episealer[™] damage marking report with photographs of sample condylar solo and condylar twin implants

The set of surgical instruments consist of 6 pieces (Fig. 3). Two of these are individualised—the Epiguide and the Epidummy. Both are 3D printed using polyamide (PA2200). The Epiguide, matching the implant diameter, enables drilling at exactly the correct angle and depth. The expanded lower end matches the healthy cartilage surrounding the defect and when held in place with k-wires it forms a very stable guide (Fig. 4). Variable depth teeth on the upper end of the guide accommodate an inner drill sleeve as an "adjustment socket" such that the drill depth can be incrementally increased in steps of 0.2 mm. An Epidummy, which is an exact replica of the implant on a handle is used to check the final position and drilling depth



Fig. 3 Instrumentation provided for the procedure (from the top): drilling socket and adjustment socket, Epimandrel impactor, Epidrill, Epidummy trial implant, and Epiguide



Fig. 4 Illustration of operative technique with Epiguide attached to femur with pins providing stable and aligned socket for accurate drilling and preparation for the implant

is advanced using the incremental socket system until the Epidummy sits at a position approximately 0.5 mm below the surrounding cartilage, the optimal position of the Episealer [27]. The Epiguide is then removed and the implant is tapped into place providing a press-fit fixation onto bone.

The postoperative protocol includes protected touch weight bearing for two weeks followed by gradual progression to full weight bearing over the subsequent two weeks. Full unrestricted motion was allowed from the outset. Cycling and strength work could commence at 6 weeks building up proprioception and core control over a 6-month period before allowing return to activities tailored to the individual patient's requirement. Patients were advised not to return to impact type sports.

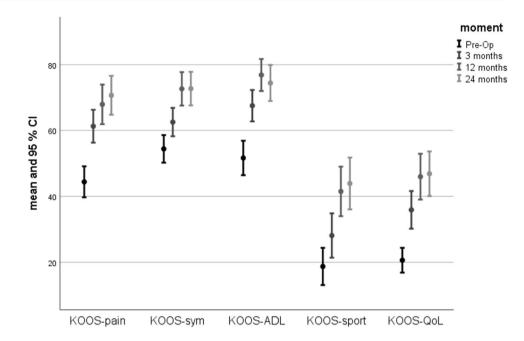
Statistical analysis

Data were collected anonymised and stored using Microsoft Excel (Microsoft, Redmond, Washington USA). All statistical analyses were performed using SPSS Ver25 (IBM, Armonk, New York USA). An a-priori power calculation was not carried out since the study aimed to include all Episealer cases. A p value < 0.05 was considered to be statistically significant. Descriptive statistics were used to evaluate the baseline demographic and clinical parameters. Each clinical outcome score at different time points was compared against preoperative values, using 1-sample, 2-tailed paired t-tests. Linear mixed-effects models were used to analyse the progression of outcome scores over the study period while taking into account the correlation between repeated measures. Categorical data were analysed against other variables using Chi² test, and significance of variation in clinically important difference using 2 tailed Fisher's exact test.

Results

At 3, 12 and 24 months, knee function assessed with KOOS and VAS demonstrated sustained improvement which was statistically significant and clinically important with an increase greater than 10 points in all KOOS domains. For each domain, both linear and curvilinear trends were statistically significant (p < 0.0001-0.002). Significant changes seen at 3 months improved further over the study period of 24 months. In addition to the raw score results, the proportion of patients where the MCID in all domains was greater than 10 points improved from 58.3 to 72.6% at 12 and 24 months. Figure 5 shows the improvement over time for mean KOOS domain scores and 95% confidence interval.

Fig. 5 Plot with error bars chart showing KOOS domains scores values and improvement at each time point (pre-operative, 3 months, 12 months, and 24 months). All values are presented as means. The I bars indicate the 95% confidence interval. *QoL* quality of life, *ADL* activities of daily living



There was also a significant improvement in the VAS score, with a mean improvement from 63 to 36 and 32 at 1 year and 2 years, respectively (p < 0.001). Results are outlined in Fig. 6 with mean VAS domain score and 95% confidence intervals. Overall, the effectiveness of the intervention was consistent with a continued improvement demonstrated by a sustained clinically important difference over time (Table 3).

There were no significant differences in KOOS and VAS scores between groups according to implant type (solo, twin, trochlea), or lesion size ($<3 \text{ cm}^2$, $3-4 \text{ cm}^2$, $>4 \text{ cm}^2$) (p > 0.05). 48 patients (64%) had undergone prior cartilage repair procedures. There were no statistically significant baseline differences when compared to patients with no previous repair surgery, and clinical outcome results in patients who had undergone previous repair surgery were not statistically inferior when compared with patients with no history of prior cartilage repair procedures (Figs. 7 and 8). Two patients underwent arthroscopy for painful mechanical clicking and for debridement of scar tissue, with both improving, and one patient developed a DVT.

Failures and revision procedures

Two patients underwent removal of implants during the study period resulting in a revision rate of 2.5%. The first patient had an atypical lesion with significant bone marrow oedema condyle preoperatively. The patient did not improve following implantation and re-presented with increased pain at 15 months. The implant was revised to a unicompartmental arthroplasty. In the second patient

focal replacement had been performed following previous OATS cartilage repair procedure where the patient had never been pain-free. Cysts persisted around the OATS plugs and a thicker than usual Episealer implant was used for reconstruction. Symptoms did not improve, and the implant was eventually revised at 19 months to bone grafting and coverage with a chondrogideTM membrane. At the latest review, 8 months following revision the patientreported substantial improvement in pain and function.

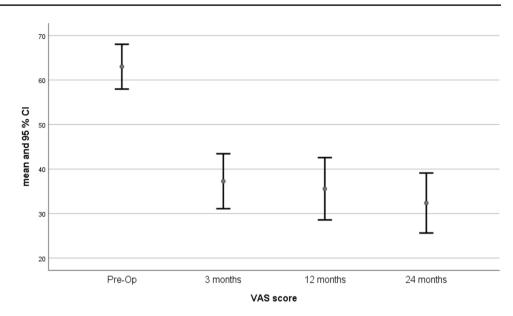
Beyond the study period of 24 months, one patient continued to report severe pain at their subsequent follow-up evaluation. Consequently, the focal implant was removed at 27 months and was found to be loose. Preoperative cultures in this patient suggested infection and a single-stage revision to unicompartmental knee replacement was performed, combined with postoperative antibiotic therapy. There were no further complications with this case.

Discussion

This study is an exploratory analysis of the outcome at 2 years following partial resurfacing in the knee with an individualised mini-metal implant. The most important finding was a clinically and statistically significant improvement in KOOS score at 24 months confirming the primary hypothesis that treatment would result in improvement in symptoms and function. The VAS pain score and all subdomains of the KOOS scores were also significantly improved at 12 and 24 months. Improvement was particularly marked for the Sports and the Quality of

Fig. 6 Plot with error bars chart showing Visual Analogue Scale (VAS) pain score values and improvement at each time point (pre-operative, 3 months, 12 months, and 24 months). All values are presented as means. The I bars indicate the 95% confidence interval

Table 3Clinically importantdifference improvement frompre-operative to 3, 12 and24 months points



Clinical outcome	Time point (months)	Mean difference	SD	95% CI	Significance level at each time point ^a	Significance level over time ^b
					p value	p value
KOOS-Pain	3	16.73	2.77	11.20-22.25	< 0.0001	
	12	22.92	3.46	16.02-29.82	< 0.0001	
	24	26.28	3.22	19.86-32.70	< 0.0001	0.002
KOOS-Symptoms	3	8.05	2.79	2.48-13.62	0.005	
	12	17.58	2.95	11.70-23.46	< 0.0001	
	24	18.3	2.81	12.70-23.90	< 0.0001	< 0.0001
KOOS-ADL	3	16.42	2.85	10.73-22.10	< 0.0001	
	12	24.75	3.28	18.20-31.29	< 0.0001	
	24	22.75	3.18	16.42-29.09	< 0.0001	< 0.0001
KOOS-Sport	3	9.47	4.1	1.28-17.66	0.024	
	12	23.06	4.53	14.02-32.09	< 0.0001	
	24	25.27	4.13	17.04-33.50	< 0.0001	0.002
KOOS-QoL	3	14.6	3.17	8.27-20.92	< 0.0001	
	12	24.05	3.76	16.54-31.55	< 0.0001	
	24	25.26	3.57	18.14-32.37	< 0.0001	< 0.0001
VAS	3	26.31	3.22	19.89-32.73	< 0.0001	
	12	27.12	4.29	18.57-35.67	< 0.0001	
	24	30.22	3.95	22.34-38.11	< 0.0001	< 0.0001

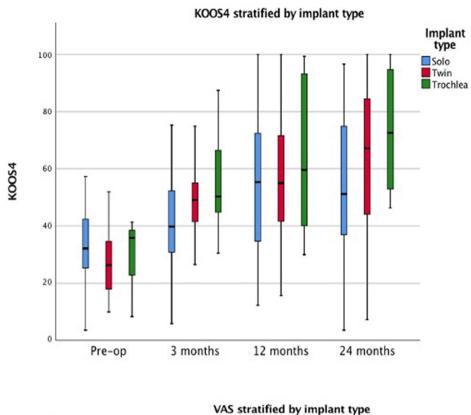
^aOne sample paired t test

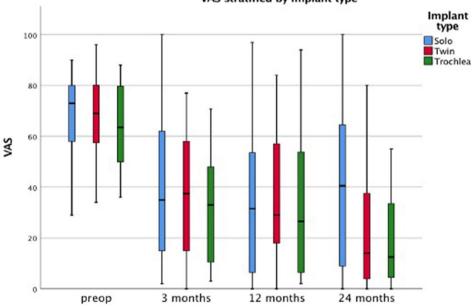
^bLinear mixed effects model

Life domains with 25 and 26 points difference, respectively (p < 0.002 and < 0.001, respectively),—recognised as the most discerning KOOS domains for the assessment of treatment impact [26, 41]. No difference in clinical outcome was noted between implantation on the femoral condyle and the trochlea, and whether treatment followed previous cartilage repair surgery.

These results compare favourably with previous studies of focal articular prosthetic resurfacing. The first publication of Episealer clinical results in 10 cases

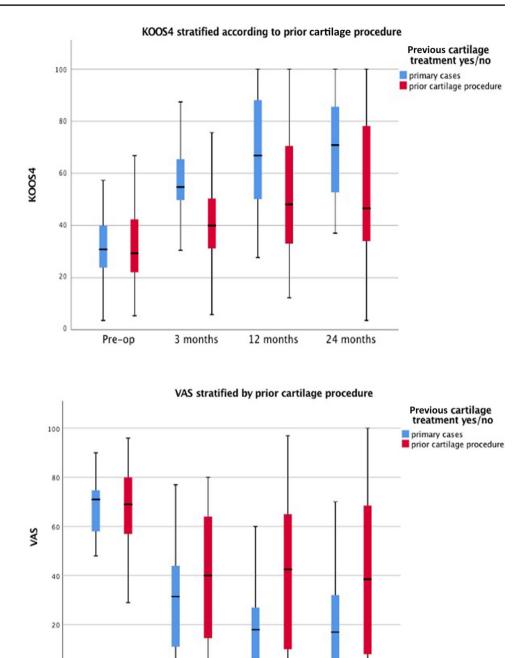
Fig. 7 Clustered box plots showing KOOS4 and VAS pain scores categorised by implant types: condyle solo, condyle twin and trochlea at each time point (pre-operative, 3 months, 12 months, and 24 months). Values for data range on the box and whiskers are median, central distribution interquartile range and lower to upper limits. KOOS4 is calculated as the average score of the four subscale scores for Pain, Symptoms, Sport/Recreation and quality of life domains





demonstrated clinically important improvement at 2 years with no signs of radiological erosion of the opposing tibial chondral surface [44]. Studies following treatment with the HemiCAP and UniCAP implants (Arthrosurface. USA) have also shown improvement in outcome. Becher et al. reported the results of 21 cases with a mean 31.5 and 20.5 point increase in sports and quality of life domains of KOOS score, respectively [1]. Bollars et al. reported on 18 out of 27 patients treated with the HemiCAP implant at a median follow-up of 34 months (range 20–57 months) with good to excellent results in WOMAC, KOOS and HSS scores [2]. Dhollander et al.

Fig. 8 Clustered box plots showing KOOS4 and VAS pain scores categorised by primary and prior cartilage repair surgery cases at each time point (pre-operative, 3 months, 12 months, and 24 months). Values for data range on the box and whiskers are median, central distribution interquartile range and lower to upper limits. KOOS4 is calculated as the average score of the four subscale scores for Pain, Symptoms, Sport/Recreation and quality of life domains



12 months

reported gradual clinical improvement in 14 but reported concerns regarding the longevity of clinical benefit and radiological outcomes at 48 months [8] and Laursen et al. reported a 23% 7-year revision rate following HemiCAP implantation [23].

0

preop

3 months

Interim results following implantation of a hydrophilic HA impregnated metal-backed polyethylene surface implant (Biopoly implant, Schwartz Biomedical, USA) have demonstrated a statistically significant and clinically important improvement in all KOOS subscale domains [32]. However, follow-up was poor with results reported on only 12 out of 29 patients. There have been no further detailed reports on this implant as far as we are aware.

24 months

The rate of revision surgery was low (2 implants—2.5%). One was due to the progression of osteoarthritis, and in the second patient pain never improved after implantation, resulting in a revision to bone graft and collagen membrane as the tibial surface was intact. Following hemiCAP and uniCAP implantation, Becher et al. reported one revision at 2 years, one osteotomy at 2 years and one debridement at 5 years—a 13% revision rate [1]. Bollards et al. reported one reoperation with osteotomy hardware removal in 19 cases while Pascual-Garrido et al. reported a 6% revision rate at 2 years [2, 37]. Others studies suggested variable revision rates with 25–40% at 5 years [12, 23]. The Danish Knee Registry reported a 45% HemiCAP implant survival at 6 years among 230 cases and the Australian Joint registry reported 38.7% revision rate in 211 at 9 years [6, 12]. Laursen and colleagues recently reported that revisions in their long-term single-centre series occurred early within the initial 4 years period, with implants lasting beyond 5 years demonstrating a much greater longevity [24].

Alternative treatments include biological cartilage procedures and arthroplasty. Pascual-Garrido et al. compared focal CAP metallic resurfacing with biological procedures in an RCT reporting 75% success (significant improvement in all outcome measures) in CAP group and 53% in the BIO group [37]. Biological interventions required a longer rehabilitation period and provided better outcome among younger patients, whereas focal resurfacing implants allowed full weight-bearing status earlier. Rapid resumption of physical activities following biological procedures can be detrimental to the outcome [33]. It is relevant for decision making that cartilage biological procedures show significantly better outcomes in younger when compared to middle-age patients [19, 20, 42], but reduced success if prior microfracture [35]. Results of unicompartmental knee arthroplasty for uni-focal chondral lesions are unsatisfactory, suggesting that this should be reserved for bifocal bone on bone disease [14, 22, 36]. Similarly, total knee arthroplasty (TKA) is not appropriate due to the high failure rate and the inability to accommodate a return to strenuous physical activities [18, 25, 39]. TKA implant survival is reduced in active patients younger than 50 years, with a reported 9% revision rate at 2 years and 16% revision rate at 10 years [28, 34].

Mini-metal implants appear to support the surrounding articular cartilage reducing the progression of defects [13, 38]. Expansion appears to be counteracted by a well-fitting and conforming hard implant [16, 45]. Large animal models have shown that surrounding cartilage adheres to the HA coating of the Episealer implant which contributes to the longevity of cartilage [43]. These features would suggest a long-term chondroprotective effect in adequately selected patients. Conformity with surrounding articular cartilage with exact positioning of the implant to avoid high pressure is important and implants should not be inserted such that the surface protrudes. A countersunk position is preferable [5, 27]. Exacting instrumentation, detailed MRI evaluation of the affected and the opposing surfaces along with the individualised design of the Episealer implant address these issues.

There are limitations to the present study. It is a singlearm series without a control group and the follow-up period is short, however, it represents a relatively large series compared to current and past literature. In addition, all patients were consecutively enrolled and prospectively followed up with minimal loss of patients at 2 years. Radiological outcomes were not assessed and, therefore, it is not possible from this series to comment on wear of the opposing articular cartilage. Long term outcome analysis is important for mini-metal implants determining survival and duration of functional gain. Factors predictive for outcome have not been evaluated in this study but will be the subject of further longer-term evaluation.

The clinical relevance of this study is that patients with symptomatic articular surface defects that are considered inappropriate for biological options or arthroplasty can expect improvement with partial resurfacing utilising an individualised mini-metal implant.

Conclusions

Articular surface reconstruction using an individualised mini-metal implant designed according to detailed MRI imaging, in combination with specific insertion guides, resulted in significant clinical and functional improvement at 2 years. Failure rate was low with only 2 failures before 2 years. There was no difference in improvement when performed following previous cartilage repair surgery, but the study was not powered for this secondary aim. This interim study indicates that there is a definitive place for this device in the management of a focal chondral or osteochondral defect affecting the distal femur.

Funding No additional funding was provided in this study.

Compliance with ethical standards

Conflict of interest Four authors on the paper are members of the Consultant Advisory Board for Episurf, manufacturer of the implant in the paper (TS, JH, MB, KE). There are no other conflicts.

Ethical approval Ethical approval was obtained in all surgical centres.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

References

- 1. Becher C, Kalbe C, Thermann H, Paessler HH, Laprell H, Kaiser T et al (2011) Minimum 5-year results of focal articular prosthetic resurfacing for the treatment of full-thickness articular cartilage defects in the knee. Arch Orthop Trauma Surg 131:1135–1143
- Bollars P, Bosquet M, Vandekerckhove B, Hardeman F, Bellemans J (2012) Prosthetic inlay resurfacing for the treatment of focal, full thickness cartilage defects of the femoral condyle: a bridge between biologics and conventional arthroplasty. Knee Surg Sports Traumatol Arthrosc 20:1753–1759
- 3. Cole BJ, Lee SJ (2003) Complex knee reconstruction: articular cartilage treatment options. Arthroscopy 19(Suppl 1):1–10
- Curl WW, Krome J, Gordon ES, Rushing J, Smith BP, Poehling GG (1997) Cartilage injuries: a review of 31,516 knee arthroscopies. Arthroscopy 13:456–460
- Custers RJ, Dhert WJ, van Rijen MH, Verbout AJ, Creemers LB, Saris DB (2007) Articular damage caused by metal plugs in a rabbit model for treatment of localized cartilage defects. Osteoarthr Cartil 15:937–945
- 6. Dansk Knaealloplastikregister (2013) Danish Knee Arthroplasty Register. Annual Report
- Davies-Tuck ML, Wluka AE, Wang Y, Teichtahl AJ, Jones G, Ding C et al (2008) The natural history of cartilage defects in people with knee osteoarthritis. Osteoarthr Cartil 16:337–342
- Dhollander AAM, Almqvist KF, Moens K, Vandekerckhove PJ, Verdonk R, Verdonk P et al (2015) The use of a prosthetic inlay resurfacing as a salvage procedure for a failed cartilage repair. Knee Surg Sports Traumatol Arthrosc 23:2208–2212
- Dugard MN, Kuiper JH, Parker J, Roberts S, Robinson E, Harrison P et al (2017) Development of a tool to predict outcome of autologous chondrocyte implantation. Cartilage 8:119–130
- Fuchs A, Eberbach H, Izadpanah K, Bode G, Sudkamp NP, Feucht MJ (2018) Focal metallic inlay resurfacing prosthesis for the treatment of localized cartilage defects of the femoral condyles: a systematic review of clinical studies. Knee Surg Sports Traumatol Arthrosc 26:2722–2732
- Goodfriend B, Essilfie AA, Jones IA, Thomas Vangsness Jr. C (2019) Fresh osteochondral grafting in the United States: the current status of tissue banking processing. Cell Tissue Bank 20:331–337
- Graves S (2016) Australian Orthopaedic Association National Joint Replacement Registry. Annual Report. AOA, Adelaide (ISSN 1445-3657)
- Guettler JH, Demetropoulos CK, Yang KH, Jurist KA (2004) Osteochondral defects in the human knee: influence of defect size on cartilage rim stress and load redistribution to surrounding cartilage. Am J Sports Med 32:1451–1458
- 14. Hamilton TW, Pandit HG, Inabathula A, Ostlere SJ, Jenkins C, Mellon SJ et al (2017) Unsatisfactory outcomes following unicompartmental knee arthroplasty in patients with partial thickness cartilage loss: a medium-term follow-up. Bone Jt J 99b:475–482
- 15. Heir S, Nerhus TK, Rotterud JH, Loken S, Ekeland A, Engebretsen L et al (2010) Focal cartilage defects in the knee impair quality of life as much as severe osteoarthritis: a comparison of knee injury and osteoarthritis outcome score in 4 patient categories scheduled for knee surgery. Am J Sports Med 38:231–237
- Houck DA, Kraeutler MJ, Belk JW, Frank RM, McCarty EC, Bravman JT (2018) Do focal chondral defects of the knee increase the risk for progression to osteoarthritis? A review of the literature. Orthop J Sports Med 6:2325967118801931
- Hunter DJ, Schofield D, Callander E (2014) The individual and socioeconomic impact of osteoarthritis. Nat Rev Rheumatol 10:437–441

- Julin J, Jamsen E, Puolakka T, Konttinen YT, Moilanen T (2010) Younger age increases the risk of early prosthesis failure following primary total knee replacement for osteoarthritis. A follow-up study of 32,019 total knee replacements in the Finnish Arthroplasty Register. Acta Orthop 81:413–419
- Knutsen G, Drogset JO, Engebretsen L, Grontvedt T, Isaksen V, Ludvigsen TC et al (2007) A randomized trial comparing autologous chondrocyte implantation with microfracture. Findings at five years. J Bone Jt Surg Am 89:2105–2112
- Knutsen G, Engebretsen L, Ludvigsen TC, Drogset JO, Grontvedt T, Solheim E et al (2004) Autologous chondrocyte implantation compared with microfracture in the knee. A randomized trial. J Bone Jt Surg Am 86:455–464
- Kon E, Filardo G, Condello V, Collarile M, Di Martino A, Zorzi C et al (2011) Second-generation autologous chondrocyte implantation: results in patients older than 40 years. Am J Sports Med 39:1668–1675
- 22. Kuipers BM, Kollen BJ, Bots PC, Burger BJ, van Raay JJ, Tulp NJ et al (2010) Factors associated with reduced early survival in the Oxford phase III medial unicompartment knee replacement. Knee 17:48–52
- Laursen JO, Lind M (2017) Treatment of full-thickness femoral cartilage lesions using condyle resurfacing prosthesis. Knee Surg Sports Traumatol Arthrosc 25:746–751
- Laursen JO, Mogensen CB, Skjøt-Arkil H (2019) UniCAP offers a long term treatment for middle-aged patients, who are not revised within the first 9 years. Knee Surg Sports Traumatol Arthrosc 27:1693–1697
- 25. Li CS, Karlsson J, Winemaker M, Sancheti P, Bhandari M (2014) Orthopedic surgeons feel that there is a treatment gap in management of early OA: international survey. Knee Surg Sports Traumatol Arthrosc 22:363–378
- 26. Lyman S, Lee YY, McLawhorn AS, Islam W, MacLean CH (2018) What Are the Minimal and Substantial Improvements in the HOOS and KOOS and JR Versions After Total Joint Replacement? Clin Orthop Relat Res 476:2432–2441
- Martinez-Carranza N, Berg HE, Hultenby K, Nurmi-Sandh H, Ryd L, Lagerstedt AS (2013) Focal knee resurfacing and effects of surgical precision on opposing cartilage. A pilot study on 12 sheep. Osteoarthr Cartil 21:739–745
- 28. Meehan JP, Danielsen B, Kim SH, Jamali AA, White RH (2014) Younger age is associated with a higher risk of early periprosthetic joint infection and aseptic mechanical failure after total knee arthroplasty. J Bone Jt Surg Am 96:529–535
- 29. Messner K, Maletius W (1996) The long-term prognosis for severe damage to weight-bearing cartilage in the knee: a 14-year clinical and radiographic follow-up in 28 young athletes. Acta Orthop Scand 67:165–168
- Mistry H, Connock M, Pink J, Shyangdan D, Clar C, Royle P et al (2017) Autologous chondrocyte implantation in the knee: systematic review and economic evaluation. Health Technol Assess 21:1–294
- Mistry H, Metcalfe A, Smith N, Loveman E, Colquitt J, Royle P et al (2019) The cost-effectiveness of osteochondral allograft transplantation in the knee. Knee Surg Sports Traumatol Arthrosc 27:1739–1753
- 32. Nathwani D, McNicholas M, Hart A, Miles J, Bobic V (2017) Partial resurfacing of the knee with the BioPoly implant: interim report at 2 years. JB&JS Open Access 2(2):e0011
- 33. Niethammer TR, Muller PE, Safi E, Ficklscherer A, Rossbach BP, Jansson V et al (2014) Early resumption of physical activities leads to inferior clinical outcomes after matrix-based autologous chondrocyte implantation in the knee. Knee Surg Sports Traumatol Arthrosc 22:1345–1352

- Odland AN, Callaghan JJ, Liu SS, Wells CW (2011) Wear and lysis is the problem in modular TKA in the young OA patient at 10 years. Clin Orthop Relat Res 469:41–47
- Ogura T, Mosier BA, Bryant T, Minas T (2017) A 20-year followup after first-generation autologous chondrocyte implantation. Am J Sports Med 45:2751–2761
- 36. Pandit H, Gulati A, Jenkins C, Barker K, Price AJ, Dodd CA et al (2011) Unicompartmental knee replacement for patients with partial thickness cartilage loss in the affected compartment. Knee 18:168–171
- Pascual-Garrido C, Daley E, Verma NN, Cole BJ (2017) A comparison of the outcomes for cartilage defects of the knee treated with biologic resurfacing versus focal metallic implants. Arthroscopy 33:364–373
- Pena E, Calvo B, Martinez MA, Doblare M (2007) Effect of the size and location of osteochondral defects in degenerative arthritis. A finite element simulation. Comput Biol Med 37:376–387
- Rand JA, Trousdale RT, Ilstrup DM, Harmsen WS (2003) Factors affecting the durability of primary total knee prostheses. J Bone Jt Surg Am 85:259–265
- Roos EM, Lohmander LS (2003) The Knee injury and Osteoarthritis Outcome Score (KOOS): from joint injury to osteoarthritis. Health Qual Life Outcomes 1:64
- Roos EM, Toksvig-Larsen S (2003) Knee injury and Osteoarthritis Outcome Score (KOOS)—validation and comparison to the WOMAC in total knee replacement. Health Qual Life Outcomes 1:17

- 42. Saris D, Price A, Widuchowski W, Bertrand-Marchand M, Caron J, Drogset JO et al (2014) Matrix-applied characterized autologous cultured chondrocytes versus microfracture: two-year follow-up of a prospective randomized trial. Am J Sports Med 42:1384–1394
- Schell H, Zimpfer E, Schmidt-Bleek K, Jung T, Duda GN, Ryd L (2019) Therapy of osteochondral defects: chondrointegration of metal implants improves after hydroxyapatite coating. Knee Surg Sports Traumatol Arthrosc. https://doi.org/10.1007/s00167-00019 -05484-00165
- 44. Stalman A, Skoldenberg O, Martinez-Carranza N, Roberts D, Hogstrom M, Ryd L (2018) No implant migration and good subjective outcome of a novel customized femoral resurfacing metal implant for focal chondral lesions. Knee Surg Sports Traumatol Arthrosc 26:2196–2204
- 45. Stefanik JJ, Guermazi A, Roemer FW, Peat G, Niu J, Segal NA et al (2016) Changes in patellofemoral and tibiofemoral joint cartilage damage and bone marrow lesions over 7 years: the Multicenter Osteoarthritis Study. Osteoarthr Cartil 24:1160–1166
- 46. Tirico LE, Demange MK, Santos LA, de Rezende MU, Helito CP, Gobbi RG et al (2016) Development of a fresh osteochondral allograft program outside North America. Cartilage 7:222–228

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Affiliations

Johannes Holz¹ · Tim Spalding² · Tarek Boutefnouchet² · Pieter Emans³ · Karl Eriksson⁴ · Mats Brittberg⁵ · Lars Konradsen⁶ · Clemens Kösters⁷ · Peter Verdonk⁸ · Magnus Högström⁹ · Martin Lind¹⁰

- ¹ OrthoCentrum Hamburg, Hansastrasse 1-3, 20149 Hamburg, Germany
- ² Department Trauma and Orthopaedics, University Hospital Coventry, Clifford Bridge Road, Coventry, UK
- ³ Department of Orthopaedics, Maastricht UMC+, P. Debyelaan 25, 6229 HX Maastricht, The Netherlands
- ⁴ Department of Orthopaedics, Stockholm South Hospital, Karolinska Institutet, Sjukhusbacken 10, 118 83 Stockholm, Sweden
- ⁵ Cartilage Research Unit, University of Gothenburg, Region Halland Orthopaedics, Kungsbacka Hospital, 434 80 Kungsbacka, Sweden

- ⁶ Department of Orthopaedic Surgery, Bispebjerg Hospital, Bispebjerg Bakke 23, 2400 Bispebjerg, Denmark
- ⁷ Clinic for Orthopaedics, Trauma and Hand Surgery, Maria-Josef-Hospital Greven, Lindenstr. 29, 48268 Greven, Germany
- ⁸ Orthoca, AZ Monica Hospitals, Harmoniestraat 68, 2018 Antwerp, Belgium
- ⁹ Sports Medicine Umeå AB and Orthopedics, Department of Surgical and Perioperative Sciences, Umeå University, 901 87 Umeå, Sweden
- ¹⁰ Deptartment of Orthopedics, Aarhus University Hospital, Palle Juul Jensens Boulevard 99, 8200 Århus, Denmark